Attorney Docket No.: 01CON346P

Serial No.: 09/761,033

**REMARKS** 

The present amendment is in response to the Advisory Office Action, dated August 19,

2004, where the Examiner has rejected claims 28-47. By the present amendment, claims 30, 36-

37, 40 and 46-47 have been cancelled, and claims 29, 31-33, 35, 39 and 41-43 have been

amended. After the present amendment, claims 28-29, 31-35, 38-39, 41-45 are pending in the

application. Reconsideration and allowance of pending claims in view of the amendments and

the following remarks are respectfully requested.

A. Rejection of Claims 32 and 42 under 35 U.S.C. § 112, ¶ 2

The Examiner has rejected claims 32 and 42, under 35 U.S.C. § 112, ¶ 2, stating that the

term "around five peaks and gains" is vague and indefinite. By the present amendment,

applicant has amended claims 32 and 42 to replace "around five peaks and gains" with --less than

five peaks and gains--. Accordingly, the Examiner's rejection of claims 32 and 42 under 35

U.S.C. § 112, ¶ 2, has been overcome.

B. Rejection of Claims 28-30 and 38-40 under 35 U.S.C. § 102(e)

The Examiner has rejected claims 28-30 and 38-40, under 35 U.S.C. § 102(e), as being

anticipated by Oshikiri, et al. (USPN 6,470,310) ("Oshikiri"). Applicant respectfully disagrees.

Applicant hereby swears behind the effective filing date of Oshikiri, i.e. September 28,

1999, under 37 C.F.R. § 1.131. Under 37 C.F.R. § 1.131, the inventor of the claimed invention

may submit an appropriate declaration to overcome a reference. The showing of facts shall be

such as to establish reduction to practice prior to the effective date of the reference, or conception

of the invention prior to the effective date of the reference coupled with due diligence from prior

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to the effective date of the reference to a subsequent reduction to practice or to the filing of the

application. See 37 C.F.R. § 1.131. Applicant respectfully submits that claims 28-29 and 38-39

are allowable over Oshikiri based on the following remarks.

Pursuant to 37 C.F.R. § 1.131, attached is a declaration from inventor Yang Gao,

including a copy of an Innovation Disclosure, having Docket No. 98RSS366, which was entered

into the Rockwell Semiconductor Systems, Inc. Disclosure Database on September 1, 1998.

The Examiner will note that the last page of the enclosed Innovation Disclosure clearly

shows that the Innovation Disclosure was entered into the Rockwell Semiconductor Systems,

Inc. Disclosure Database, on September 1, 1998 at 10:48:38 AM, which predates the effective

filing date of Oshikiri, i.e. September 28, 1999. Applicant respectfully submits that the

Innovation Disclosure, entitled "Adaptive Excitation Pattern for Low Bit Rate Speech Coding"

evidences that the inventor was in possession of the presently claimed subject matter on

September 1, 1998. For instance, the Examiner's attention is directed to page 2 of the Innovation

Disclosure, under the heading "Solution" and Figure 1.

Accordingly, applicant respectfully requests that the rejection of claims 28-29 and 38-39,

as being anticipated by Oshikiri under 35 U.S.C. § 102(e) be withdrawn.

C. Rejection of Claims 31, 33-36, 41 and 43-46 under 35 U.S.C. § 103(a)

The Examiner has rejected claims 31, 33-36, 41 and 43-46 under 35 U.S.C. § 103(a), as

being unpatentable over Oshikiri in view of Akamine, et al. (USPN 5,265,167) ("Akamine").

Applicant respectfully disagrees.

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For the reasons stated above, applicant respectfully submits that rejection of claims 31,

33-35, 41 and 43-45 as being unpatentable over Oshikiri in view of Akamine should be

withdrawn.

Rejection of Claims 37 and 47 under 35 U.S.C. § 103(a) D.

The Examiner has rejected claims 37 and 47 under 35 U.S.C. § 103(a), as being

unpatentable over Oshikiri in view of Akamine, in further view of Su (USPN 6,014,622) ("Su").

By the present amendment, claims 37 and 47 have been cancelled and, thus, the Examiner's

rejection has been rendered moot.

E. Conclusion

For all the foregoing reasons, an early allowance of claims 28-29, 31-35, 38-39, 41-45

pending in the present application is respectfully requested. The Examiner is invited to contact

the undersigned for any questions.

Respectfully Submitted;

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